



PAYROLL MONITORING

CaseWare Analytics Payroll Monitoring

The CaseWare Payroll Monitoring solution allows an organization to detect erroneous, suspicious and fraudulent activities before payments are made. The solution provides the facility to define the entire control environment, from employee record creation to final salary payments. Once completed, the monitoring framework examines all electronic activity to detect control breaches and automatically alerts the relevant persons to action the items discovered, in a timely manner. Financial and business process managers can focus on optimizing business performance and maximizing profits while mitigating the risk of fraud.

CaseWare Analytics Capabilities

24/7 AUTOMATED ANALYSIS

Get a complete picture of the accuracy of your data by assessing and validating your data against approved data standards.

SUPPORT EXISTING SYSTEMS

Cleanse your data by standardizing, de-duplicating, validating addresses and geocoding to prevent billing, payment or inventory errors. Data is checked against rules set by you, and is corrected accordingly.

- ERPs such as SAP®, Oracle®, etc.
- Payroll applications such as Ceridian, Intuit®, Paychex®

Solution Benefits

FEWER IMPROPER PAYMENTS

Detect potential fraudulent or erroneous payments before they are made.

COLLABORATIVE FRAMEWORK

Assign control breakdowns to the right personnel via an integrated workflow and escalation path, to ensure accountability and issue resolution.

FEWER SEGREGATION OF DUTIES (SOD) VIOLATIONS

Identify all potential SoD violations. Prevent unauthorized changes to employee and bank details, as well as to payroll codes.



CASEWARE
ANALYTICS

CASEWARE ANALYTICS PLATFORM

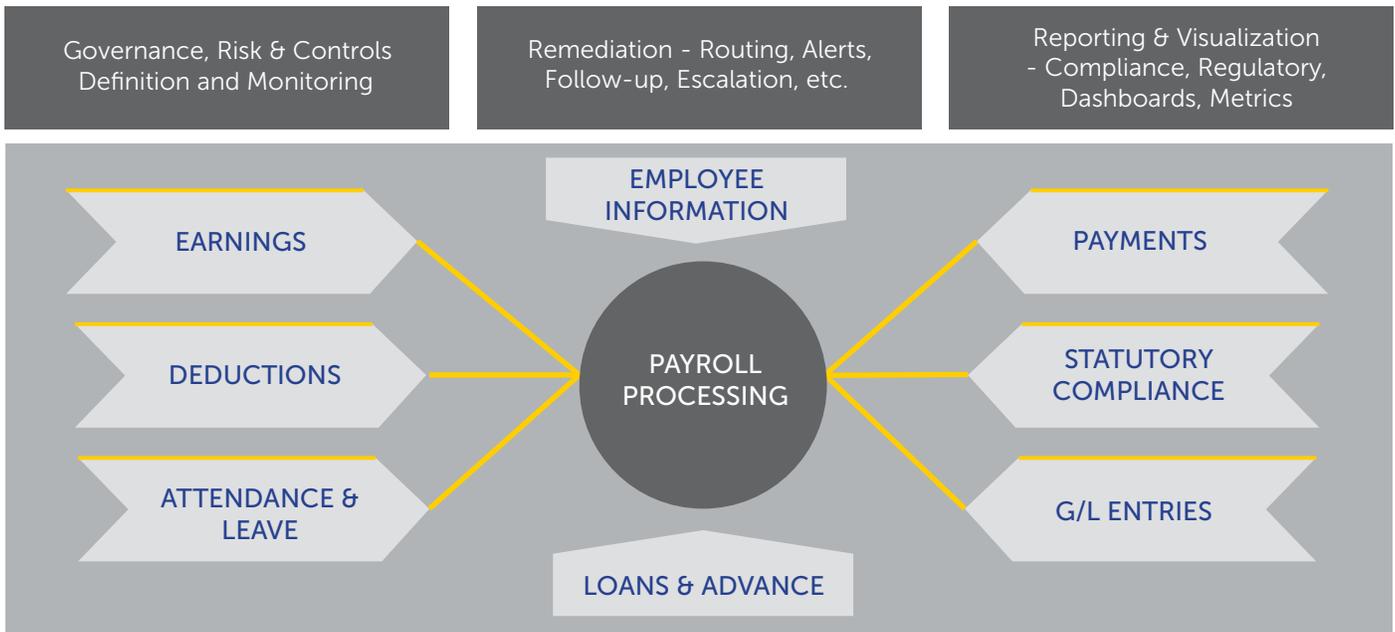


Figure 1 - CaseWare Analytics Payroll Monitoring Platform

Sample Payroll Monitoring Analytics

Payroll Analytics

Identify employees that are active and are not receiving a basic salary

Identify employees being paid after their employment contract has ended

Ghost employees - Detect payments being made to employees that are not reconciling to other independent employee data sources

Identify employees with irregular payments

Detect changes to employee's basic salaries and rates

Overtime Exceptions

Identify employees who have submitted overtime hours that are considered excessive

Identify improper hourly rates used to calculate overtime payments

Master Data Exceptions

Identify all cases of duplicated bank accounts details

Report all cases where bank account details are missing

Identify all cases where there is a suspected duplicate payment to an employee

Identify all cases where tax data appears to be conflicting and/or duplicated

Identify all instances where the tax ID does not conform to the code composition

Master Data Amendments

Identify employees with change in their bank account number

Check for employees that have been added, removed or made inactive to the payroll over a period

Report all payroll codes that have been added to the payroll over a period of time

Identify any differences in the method used to calculate payroll taxes

Highlight all payroll codes that were not being used for a period and are now reactivated

About CaseWare Analytics

CaseWare Analytics is home to IDEA® Data Analysis and the CaseWare Monitor continuous monitoring platform. Our software solutions are built on a foundation of industry best practices and expertise, enabling audit, compliance and finance professionals to assess risk, gather audit evidence, uncover trends, identify issues and provide the intelligence needed to make informed decisions, ensure compliance and improve business processes. We offer solutions that meet the needs of auditors, analysts, purchasing card managers, compliance officers and more. With 40 distribution offices worldwide, CaseWare Analytics' products and solutions serve more than 400,000 professionals in 90 countries.

To learn more visit casewareanalytics.com.

